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| CLIENT/MATTER NAME | Application No.: 09/834,171 For: ELECTRONIC SECURITIES MARKETPLACE HAVING INTEGRATION WITH ORDER MANAGEMENT SYSTEMS Filed: April 12, 2001 |
| CLIENT/MATTER NO. | 363779/0002 |

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Docket No.
363779/0002
ID:CEC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: **Seth I. Merrin**

Group Art Unit: 3624

Application No.: 09/834,171

Examiner: **Charles R. Kyle**

Filed: **April 12, 2001**

For: **ELECTRONIC SECURITIES MARKETPLACE HAVING
INTEGRATION WITH ORDER MANAGEMENT SYSTEMS**

Date: **March 3, 2006**

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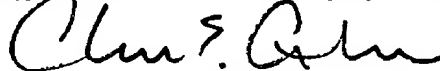
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| Application Number | 09/834,171 |
| Filing Date | April 12, 2001 |
| First Named Inventor | Seth I. Merrin |
| Art Unit | 3624 |
| Examiner Name | Charles R. Kyle |
| Attorney Docket Number | 363779/0002 |

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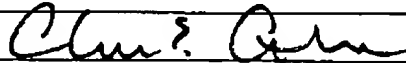
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- ☒ Attorney or agent of record. Registration Number 43,531
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Signature

Typed or Printed
Name**Charles E. Cantine**

Date

March 3, 2006

Telephone

(212) 806-6288

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